



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
-----------------	-------------	----------------------	---------------------	------------------

10/549,588

05/22/2006

Volker Rug

3481

1093

278

7590

04/25/2008

MICHAEL J. STRIKER
103 EAST NECK ROAD
HUNTINGTON, NY 11743

EXAMINER

CEHIC, KENAN

ART UNIT

PAPER NUMBER

2616

MAIL DATE

DELIVERY MODE

04/25/2008

PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary	Application No.	Applicant(s)	
	10/549,588	RUG ET AL.	
	Examiner	Art Unit	
	KENAN CEHIC	2616	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 28 January 2008.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-19 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-19 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☒ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____ |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date <u>02/27/2008</u> . | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

Claim Rejections - 35 USC § 112

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

1. Claim 1 recites the limitation "the information signal" in line 21. There is insufficient antecedent basis for this limitation in the claim. The claim only references information signals; it is not clear if applicant is referring to a specific information signal or any information signal. If the latter is correct it is suggested to change this limitation to --an information signal--.

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

- (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

1. Determining the scope and contents of the prior art.
2. Ascertaining the differences between the prior art and the claims at issue.
3. Resolving the level of ordinary skill in the pertinent art.
4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

2. Claims 1-4, 15 are rejected under 35 U.S.C. 103(a) as being unpatentable over Karbowski et al. (US 4,663,748) in view of Sweeton (US 4,527,270).

For claim 1, Karbowski discloses a participant (1) (see Figure 1, "NODE", 11,12,13) of a communication system (see column 2 lines 16-18 "communication system"), comprising:

Art Unit: 2616

a first communication path (10) (see Figure 1, 15) and a second communication path (20) (see Figure 1, 14), the communication paths (see Figure 1, 14 and 15) in the communication system see column 2 lines 16-18 “communication system”) having a double-ring topology (see Figure 1, 11, 14, 15) that is configured to operate in contrary directions (see Figure 1, 14 and 15, note arrows)

a first processing unit (11) (see Figure 17, 91, 92, 93, 12) configured to process (see column 28 lines 14-18 “performs the functions of the RXM..TXM...RRM” and column 28 lines 34-47 “Clock Decoder...clocking information which is used to synchronise the PLL”)

information signals (see column 28 lines 25-30 “up-ring link-signal”) , obtained (see column 28 lines 25-30 “Receive Data”) via the first communication path (10) (see Figure 17, 15b) , and/or to generate (see column 28 lines 25-30 “generate”) and send (see column 28 lines 30-33 “down-ring signals are in turn interfaced to ...15a”) information signals (see column 28 lines 25-30 “down-ring link-signal”) via the first communication path (see Figure 17, 15a), and a second processing unit (21) (see Figure 17, 91, 92, 93, 12), configured to process (see column 28 lines 14-18 “performs the functions of the RXM..TXM...RRM” and column 28 lines 34-47 “Clock Decoder...clocking information which is used to synchronise the PLL”) information signals (see column 28 lines 25-30 “up-ring link-signal”) received (see column 28 lines 25-30 “Receive Data”) via the second communication path (see Figure 17b, 14a)

(20) and/or to generate (see column 28 lines 25-30 “generate”) and send (see column 28 lines 30-33 “down-ring signals are in turn interfaced to ...14b”) information signals (see

column 28 lines 25-30 “down-ring link-signal”) via the second communication path (see Figure 17, 14b), and a first activatable coupling positioned (see Figure 17, 94 and Figure 20, 122, “LINE SIGNAL from NODE” and line connecting 122 to 124; see Figure 17, 94, “Liu 11” and Figure 1, “NODE “ and 11) between (see Figure 17, 94 and Figure 20, 122, “UP-RING”, 123, “LINE TO SIGNAL”, “LINE SIGNAL and column 28 lines 62-66 “multiplexors.. 122 are controlled by the NIU...allow selection of...14a, 15a, 14b, 15b either the node or the other link-pair”), the first communication path (10) (see Figure 1, 15 and Figure 20, 15a, 15) and the second communication path (20) (see Figure 1, 14 and Figure 20, 14a, 14b), such that upon activation (column 28 lines 62-66 “multiplexors.. 122 are controlled by the NIU...allow selection of...14a, 15a, 14b, 15b either the node or the other link-pair”) of the first activatable coupling (see Figure 17, 94 and Figure 20, 122, “LINE SIGNAL from NODE” and line connecting 122 to 124) information signals (see column 4 lines 65-68 “data flow”) are picked up (see column 4 line 65 through column 5 line 1 “reconfigures its link connections and Figure 2; 10 (top of circle), 14, 15 and “DATA FLOW”) from the first communication path (10) (see Figure 2, 15) and delivered (see Figure 2, 10 (top of circle), data flow is wrapped around from 15 to 14, because of failed link) to the second communication path (see Figure 2, 14), wherein a location for delivery (see column 28 lines 62-66 “multiplexors.. 122 are controlled by the NIU...allow selection of...14a, 15a, 14b, 15b either the node or the other link-pair”) to the first activatable coupling (see Figure 17, 94 and Figure 20, 122, “LINE SIGNAL from NODE” and line connecting 122 to 124) is positioned downstream (see Figure 20,

122 and “LINE SIGNAL from NODE” and Figure 17, 95; the multiplexor is downstream from the processing unit) in a signal travel direction (see Figure 20, 122 and “LINE SIGNAL from NODE” and Figure 17, 95) of a processing unit (2 1) (see Figure 17, 91, 92, 93, 12) of the second communication path (20) (see Figure 20, 14b and Figure 17, 14b), wherein the processing unit (1 1,21) (see Figure 17, 91, 92, 93, 12) checks the information signal (see column 4 lines 65-67 “synchronization signals”) for its presence (see column 4 lines 65-67 “detect a loss of synchronization signals”), and one phase locked loop (see Figure 18, 107 and column 18 lines 19-23 “phase locked loops”) is provided (see Figure 17, 92) in the participant (1) (see Figure 1, “NODE”, 11,12,13) for phase preparation (see column 18 lines 39-44 “PLLs...absorb phase jitter” and column 19 lines 5-9 “PLL...maintain a steady-state phase error which will absorb”) of the received information signal (see Figure 17; 96 and Figure 18, “LINE SIGNAL”).

For claim 2, Karbowskiak discloses wherein the pickup of the first activatable coupling (see Figure 17; 94 and Figure 20; 122) is located in the participant (see Figure 1, “NODE”, 11,12,13) downstream (see Figure 20, 122 and “LINE SIGNAL from NODE” and Figure 17, 95; the multiplexor is downstream from the processing unit) in the signal travel direction (see Figure 20, 122 and “LINE SIGNAL from NODE” and Figure 17, 95) of the processing unit (1 1) (see Figure 17, 91, 92, 93, 12) of the first communication path (1 0) (see Figure 20, 15 and Figure 17, 15b and column 28 lines 62-66 “multiplexors.. 122 are controlled by the NIU...allow selection of...14a, 15a, 14b, 15b either the node or the other link-pair”) .

Art Unit: 2616

For claim 3, Karbowskiak discloses wherein the first activatable coupling (see Figure 17, 94 and Figure 20, 122, “LINE SIGNAL from NODE” and line connecting 122 to 124) includes a first intermediate connecting line (13) (see Figure 20, “LINE SIGNAL from NODE”), for connecting (see Figure 2, 10 (top of ring), 15, 14; path 15 is wrapped to path 14 and column 28 lines 62-66 “multiplexors.. 122 are controlled by the NIU...allow selection of...14a, 15a, 14b, 15b either the node or the other link-pair”) the first communication path (10) (see Figure 20; 15) to the second communication path (20) (see Figure 20, 14b), and a first switchover element (22) (see Figure 20, 122), inserted into both (see Figure 20, 122; 122 is connected to both 14b and signal from node which can be any input/output of 14a, 14b, 15, 15a of Figure 20) the first intermediate connecting line (see Figure 20, “LINE SIGNAL from NODE”) and the second communication path (20) (see Figure 20, 14b).

For claim 4, wherein the first switchover element (22) (See Figure 20, 122) is a multiplex (see column 28 lines 60-64 “multiplexors...122) with two inputs (see column 28 lines 60-64 “two-input”) and one output (see Figure 17, 14b, 126 and 122) and the inputs (see Figure 20, 14a and “LINE SIGNAL from NODE”) are switchable selectively to the output (see column 28 lines 57-66 “allow selection of the source of the signal transmitted”).

For claim 15, Karbowskiak discloses

Karbowskiak is silent about:

As regarding claim 1, wherein one phase locked loop per communication path is provided, wherein said one phase locked loop per communication path is configured for phase preparation of a received information signal.

Sweeton from the same or similar field of endeavor discloses and interface with the following features:

As regarding claim 1, Sweeton wherein one phase locked loop per communication path is provided (see fig 5; Primary Receive , Second Receive, 5, 6 and fig 6; 43 and col 6 lines 50-67 “the primary and secondary receivers PR and SR are identically constructed. One such receiver is indicated....40....PLL”) wherein said one phase locked loop per communication path (see fig 5; Primary Receive , Second Receive, 5, 6 and fig 6; 43 and col 6 lines 50-67 “the primary and secondary receivers PR and SR are identically constructed. One such receiver is indicated....40....phase locked loop”) is configured for phase preparation of a received information signal (see col 6 lines 50-67 “signal...applied to the input of a phase locked loop...generates a clock signal ...which is synchronized with the digital input...clock signal is used by a a Manchester receive...serial-to parallel converter...to process each byte of input data”).

It would have been obvious to one of the ordinary skill in the art at the time of the invention to modify the system of Karbowski et al. by using the features, as taught by Sweeton, in order to provide a synchronized clock signal in order to process an input signal (see Sweeton col 6 lines 50-67); and in order to provide a method/system that can still communicate when both rings have breaks and to provide redundancy control (see Sweeton cols 1-2).

3. Claim 5 is rejected under 35 U.S.C. 103(a) as being unpatentable over Karbowski et al. (US 4,663,748) in view of Sweeton (US 4,527,270) as applied to claim 1, further in view of Hamada et al (US 4,530, 085).

For claim 5, the claimed invention is described as in paragraph 2. Additionally, Karbowski et al and Sweeton disclose the first (see Figure 17 , 91, 92, 93, 12) and second processing units (see Figure 17 , 91, 92, 93, 12).

For claim 5, Karbowski et al and Sweeton et al are silent about:

As regarding claim 5, Hamada discloses wherein a processing unit is microprocessor system for protocol processing, preferably for HDLC processing.

Hamada et al from the same or similar field of endeavor discloses a reconfiguration control for a loop network with the following features:

As regarding claim 5, wherein a processing unit (see Figure 3, MC100) is a microprocessor system (see column 3 lines 20 “microcomputer”) for protocol processing (see column 3 lines 24-25 “HDLC”) , preferably for HDLC processing (see column 3 lines 24-25 “HDLC”).

It would have been obvious to one of the ordinary skill in the art at the time of the invention to modify the system of Karbowski et al. and Sweeton et al by using the features, as taught by Hamada et al, in order search for a trouble point on the loop (see column 2 lines 17-24).

Art Unit: 2616

4. Claim 1, 6-8,10,11,15-17 are rejected under 35 U.S.C. 103(a) as being unpatentable over Uzun (US 7,142,504) in view of over Sweeton (US 4,527,270).

For claim 1, Uzun discloses a participant (1) (see Figure 3, 132) of a communication system (see Figure 2a, 101) comprising, having a first communication path (10) (see Figure 2a, 146) and a second communication path (20) (see Figure 2a, 126), the communication paths (see Figure 2a, 146 and 126) in the communication system (see Figure 2a, 101), having with a double-ring topology (see Figure 2a, 146 and 126) that is configured to operate in contrary directions (see Figure 2a, 146 and 126, note arrows), a first processing unit (see Figure 3, 250, 210, 220) configured to process (see column 6 lines 18-25 “use the destination information in the packet header to determine if the packet is destined for the host...or destined for the outer ring 146. Local traffic may be forwarded to the host via output 273”) information signals (see column 6 lines 14-116 “data”), obtained via the first communication path (see column 6 lines 14-16 “data...along transmission medium 140 of outer ring 146”) (10), and/or to generate and send (see column 7 lines 16-19 “SRPF block...traffic stored in SRAM 225”; SRAM produces data to SRPF) and sending (see column 8 lines 34-37 “SRAMS 220 servicing the outer ring 146”) information signals (see column 7 lines 16-19 “transit traffic”) via the first communication path (see column 8 lines 34-37 “SRAMS...220 servicing the outer ring 146”) a second processing 10 unit (21) (see Figure 3, 255, 215, 225) configured to process (see column 7 lines 11-16 “packets maybe routed ...into line card and into lookup

block...Local traffic may be forwarded to the host via output 283. Transit traffic may be forwarded to and stored in ...225”) information signals (see column 6 lines 14-16 “Data”) received via the second communication path (20) (see Figure 3, 121 and column 7 lines 11-14 ” data is received...along transmission media 121 of inner ring 126”) and/or to generate (see column 7 lines 16-18 “SRPF block...may arbitrate between the transit traffic stored in SRAM”; SRAM 225 produces traffic to the SRPF) and send (see column 8 lines 34-37 “SRAMS...225 servicing the...inner ring 126”) information signals (see column 7 lines 34-37 “transit traffic”) via the second communication path (see column 8 lines 34-37 “inner ring 126”),

a first activatable coupling (see Figure 3, 235, 504b; see Figure 3, 132) positioned between (see Figure 3, 235 is between 140, 141 and 121, 120 and column 9 lines 35-37 “location of the wrap paths...may be modified”) the first communication path (see Figure 3, 140) and the second communication path (20) (see Figure 3, 121), such that upon activation (see column 8 lines 24-26 “SRPF 235 processes”) of the first activatable coupling (see Figure 3, 235, 504b), information signals (see column 8 lines 21-27 “transit traffic”) are picked up (see column 8 lines 21-27 “flowing out of multiplexer...into SRPF 235”) from the first communication path (10) (see Figure 3, 140) and delivered (see column 8 lines 21-27 “SRPF 235 processes the wrapped data” and column 6 lines 45-46 “SRPF fairness logic is a transmission protocol” and Figure 3, “Inner Fwd”) to the second communication path (see Figure 3, 120) (20), wherein a location for delivery (see Figure 3, 504b) to the first activatable coupling (see Figure 3, 235, 504b) is positioned (see Figure 3, 132) being downstream (see

Figure 3, 235, 225,215, 255; 235 is downstream of 225,215,255) in the signal travel direction (see Figure 3, 235, 225, 215,255; note arrow connecting the components”) of the processing unit (2 1) (see Figure 3, 225,215,255) of the second communication path (20)

(see Figure 3, 121), the processing unit (1 1,21) checks the information signal for its presence (see col 3 lines 1-10 ” to detect an idle frame signal” and col 8 lines 5-20 “detection of an idle frame signal”).

For claim 6, Uzun discloses wherein a second activatable coupling (23, 12) (see Figure 3, 230, 501b) is also located in the participant (see Figure 3, 132) , between (see Figure 3, 230 is between 140, 141 and 121, 120 and column 9 lines 35-37 “location of the wrap paths...may be modified”) the first communication path (10) (see Figure 3, 140) and the second communication path (20) (see Figure 3, 120, 121) , such that upon activation (see column 8 lines 24-26 “SRPF 235 processes”) of the second activatable

Coupling (see Figure 3, 230, 501b), information signals (see column 7 lines 28-30 “data”) are picked up (see Figure 3, 501b) from the second communication path (20) (see Figure 3, 121, 120) and delivered (see Figure 3, 501b delivers to SRPF 230) to the first communication path (10) (see Figure 3, 140,141), and wherein the delivery (see Figure 3, 501b; note arrow and column 7 lines 24-26 “data wrap paths”) to the second activatable coupling (see Figure 3, 230, 501b) is located downstream (see Figure 3, 230, 220,210, 250, ; 230 is downstream from 220,210,250) in the signal travel direction (see Figure 3, 250,210,220,230;note arrows) of the processing unit (1 1) (see Figure 3, 250,210,220) of the first communication path (10) (see Figure 3, 140)

and furthermore, the pickup (see Figure 3, 501b sends signal to 230) of the second activatable coupling (see Figure 3, 230, 501b) is expediently located downstream (see Figure 3, 501b is located downstream of 225, 215,255) in the participant (see Figure 3, 132) in the signal travel direction (see Figure 3, note arrows between 245,236,235,225,215, and 255) of the processing unit (see Figure 3, 225,215, 255) of the second communication path (20) (see Figure 3, 121, 120).

For claim 7, Uzun discloses wherein the second activatable coupling (see Figure 3, 230, 501b) includes an intermediate connecting line (23) (see Figure 3, 501b) for connecting (see Figure 3b, 501b, 501b bridges ring 121 to 141) the second communication path (20) (see Figure 3, 121,120) to the first communication path (10) (see Figure 3, 140,141) and a second switchover element (22) (see Figure 3, 230), inserted into both the intermediate connecting line (see Figure 3, 501b terminates into 230) and the first communication path (see Figure 3, 140) (10),

For claim 8, Uzun discloses wherein one receiver (see Figure 3, 250, 255) per communication path (see Figure 3, 250 for 140 and 255 for 120), preferably an optical receiver (see column 5 lines 5-7 “SONET framers”), is provided in the participant (see Figure 3, 250 and 255 are in 132) for receiving (see column 6 lines 18-19 “packets may be routed through physical layer”) and coupling in the information signals (see column 6 lines 18-19 “packets may be routed through physical layer into line card 200”) from the communication path (see Figure 3, 140 or 120) into the participant (see Figure 3, 132, 200)

For claim 10, Uzun discloses wherein the participant (see Figure 3, 132)) is a secondary participant (1', 1'') (see column 4 line 33 "node B" and Figure 2a, "B") of the communication system (see column 4 lines 26-27 "bi-directional ring topology network").

For claim 11, Uzun discloses wherein the participant (see Figure 2a "Central Node") is a central participant (1z) (see Figure 2a, "Central Node") of the communication system (see column 4 lines 26-27 "bi-directional ring topology network").

For claim 15, Uzun discloses a communication system (5) (see column 4 lines 26-27 "bi-directional ring topology network") for directed communication (see column 4 lines 50-53 "connectd to branch nodes 131-135" and column 4 lines 57-59 "inner and outer rings...transport data") between participants (see column 4 lines 50-52 "130...nodes 131-135") of the communication system (see column 4 lines 26-27 "bi-directional ring topology network"), having one central participant (see column 4 lines 50-52 "central node 130") (1z) and at least one secondary participant (1', 1'') (see column 4 lines 50-52 "nodes 131-135"), wherein at least one of the participants (see column 4 lines 50-52 "nodes 131-135") comprises a first communication path (10) (see Figure 1, 15) and a second communication path (20) (see Figure 1, 14), the communication paths (see Figure 1, 14 and 15) in the communication system see column 2 lines 16-18 "communication system") having a double-ring topology (see Figure 1, 11, 14, 15) that is configured to operate in contrary directions (see Figure 1, 14 and 15, note arrows) a first processing unit (1 1) (see Figure 17, 91, 92, 93, 12) configured to process (see column 28 lines 14-18 "performs the functions of the RXM..TXM...RRM" and column

28 lines 34-47 “Clock Decoder...clocking information which is used to synchronise the PLL”)

information signals (see column 28 lines 25-30 “up-ring link-signal”) , obtained (see column 28 lines 25-30 “Receive Data”) via the first communication path (10) (see Figure 17, 15b) , and/or to generate (see column 28 lines 25-30 “generate”) and send (see column 28 lines 30-33 “down-ring signals are in turn interfaced to ...15a”) information signals (see column 28 lines 25-30 “down-ring link-signal”) via the first communication path (see Figure 17, 15a) ; a second processing unit (21) (see Figure 17 , 91, 92, 93, 12), configured to process (see column 28 lines 14-18 “performs the functions of the RXM..TXM...RRM” and column 28 lines 34-47 “Clock Decoder...clocking information which is used to synchronise the PLL”) information signals (see column 28 lines 25-30 “up-ring link-signal”) received (see column 28 lines 25-30 “Receive Data”) via the second communication path (see Figure 17b, 14a)

(20) and/or to generating (see column 28 lines 25-30 “generate”) and sending (see column 28 lines 30-33 “down-ring signals are in turn interfaced to ...14b”) information signals (see column 28 lines 25-30 “down-ring link-signal”) via the second communication path (see Figure 17, 14b); a first activatable coupling positioned (see Figure 17, 94 and Figure 20, 122, “LINE SIGNAL from NODE” and line connecting 122 to 124; see Figure 17, 94, “Liu 11” and Figure 1, “NODE “ and 11) between (see Figure 17, 94 and Figure 20, 122, “UP-RING”, 123, “LINE TO SIGNAL”, “LINE SIGNAL and column 28 lines 62-66 “multiplexors.. 122 are controlled by the NIU...allow selection of...14a, 15a, 14b, 15b either the node or the other link-pair”), the first communication

path (10) (see Figure 1, 15 and Figure 20, 15a, 15) and the second communication path (20) (see Figure 1, 14 and Figure 20, 14a, 14b), such that upon activation (column 28 lines 62-66 “multiplexors.. 122 are controlled by the NIU...allow selection of...14a, 15a, 14b, 15b either the node or the other link-pair”) of the first activatable coupling (see Figure 17, 94 and Figure 20, 122, “LINE SIGNAL from NODE” and line connecting 122 to 124) information signals (see column 4 lines 65-68 “data flow”) are picked up (see column 4 line 65 through column 5 line 1 “reconfigures its link connections and Figure 2; 10 (top of circle), 14, 15 and “DATA FLOW”) from the first communication path (10) (see Figure 2, 15) and delivered (see Figure 2, 10 (top of circle), data flow is wrapped around from 15 to 14, because of failed link) to the second communication path (see Figure 2, 14), wherein a location for delivery (see column 28 lines 62-66 “multiplexors.. 122 are controlled by the NIU...allow selection of...14a, 15a, 14b, 15b either the node or the other link-pair”) to the first activatable coupling (see Figure 17, 94 and Figure 20, 122, “LINE SIGNAL from NODE” and line connecting 122 to 124) is positioned downstream (see Figure 20, 122 and “LINE SIGNAL from NODE” and Figure 17, 95; the multiplexor is downstream from the processing unit) in a signal travel direction (see Figure 20, 122 and “LINE SIGNAL from NODE” and Figure 17, 95) of a processing unit (2 1) (see Figure 17, 91, 92, 93, 12) of the second communication path (20) (see Figure 20, 14b and Figure 17, 14b); and wherein the processing unit (1 1,21) (see Figure 17, 91, 92, 93, 12) checks the information signal (see column 4 lines 65-67 “synchronization signals”) for its presence (see column 4 lines 65-67 “detect a loss of synchronization signals”), and one

Art Unit: 2616

phase locked loop (see Figure 18, 107 and column 18 lines 19-23 “phase locked loops”) is provided (see Figure 17 , 92) in the participant (1) (see Figure 1, “NODE” ,11,12,13) for phase preparation (see column 18 lines 39-44 “PLLs...absorb phase jitter” and column 19 lines 5-9 “PLL...maintain a stead-state phase error which will absorb “) of the received information signal (see Figure 17; 96 and Figure 18, “LINE SIGNAL”).

For claim 16, Uzun discloses wherein the communication system (see column 4 lines 26-27 “six-node bidirectional ring topology”) is embodied with double-ring topology (see column 4 lines 26-27 “six-node bidirectional ring topology” and Figure 2a, 101), with two communication paths (10,20), (see Figure 2a, 146,126) each annularly closed (see Figure 2a, 146,126).

For claim 17, Uzun discloses wherein the information signal (see column 4 lines 55-54 “data”) travel (see column 4 lines 57-60 “Inner and outer rings...may concurrently transport data in opposing directions”) in the two communication paths (see Figure 2a, 126, 146) is effected in contrary directions (see Figure 2a, 126, 146; not arrows and see column 4 lines 57-60 “Inner and outer rings...may concurrently transport data in opposing directions”).

Uzun is silent about:

As regarding claim 1, wherein one phase locked loop per communication path is provided, wherein said one phase locked loop per communication path is configured for phase preparation of a received information signal.

Sweeton from the same or similar field of endeavor discloses and interface with the following features:

As regarding claim 1 and 15, Sweeton wherein one phase locked loop per communication path is provided (see fig 5; Primary Receive , Second Receive, 5, 6 and fig 6; 43 and col 6 lines 50-67 “the primary and secondary receivers PR and SR are identically constructed. One such receiver is indicated....40....PLL”) wherein said one phase locked loop per communication path (see fig 5; Primary Receive , Second Receive, 5, 6 and fig 6; 43 and col 6 lines 50-67 “the primary and secondary receivers PR and SR are identically constructed. One such receiver is indicated....40....phase locked loop”) is configured for phase preparation of a received information signal (see col 6 lines 50-67 “signal...applied to the input of a phase locked loop...generates a clock signal ...which is synchronized with the digital input...clock signal is used by a Manchester receive...serial-to parallel converter...to process each byte of input data”).

It would have been obvious to one of the ordinary skill in the art at the time of the invention to modify the system of Uzun et al. by using the features, as taught by Sweeton, in order to provide a synchronized clock signal in order to process an input signal (see Sweeton col 6 lines 50-67); and in order to provide a method/system that can still communicate when both rings have breaks and to provide redundancy control (see Sweeton cols 1-2).

5. Claim 7 is rejected under 35 U.S.C. 103(a) as being unpatentable over Uzun (US 7,142,504) in view of over Sweeton (US 4,527,270) as applied to claim .

For claim 7, Uzun and Sweeton discloses all the claimed invention as described in paragraph 4.

Uzun and Sweeton are silent about:

As regarding claim 7, the second switchover element (12) is expediently a multiplexer with two inputs and one output, and the inputs are selectively switchable to the output.

Karbowiak et al. from the same or similar field of endeavor discloses a double ring system with the following features:

As regarding claim 7, Karbowiak discloses the second switchover element (12) (see Figure 20, 121) is expediently a multiplexer (see Column 28 lines 62-65 “multiplexors 121”) with two inputs (see column 28 lines 62-65 “two-input”) and one output (see Figure 3, 121 ; note one output), and the inputs are selectively switchable (see column 28 lines 62-67 “allow selection”) to the output (see column 62-67 “signal transmitted”).

It would have been obvious to one of the ordinary skill in the art at the time of the invention to modify the system of Uzun and Sweeton, by using the features, as taught by Karbowiak, in order to for each node to participate in maintenance of the system control scheme (see Karbowiak column 1 lines 60-68) and to provide mutual clock synchronization between adjacent nodes in a ring during failure (see Karbowiak column 2 lines 10-12);

6. Claim 9 is rejected under 35 U.S.C. 103(a) as being unpatentable over Uzun (US 7,142,504) in view of Sweeton (US 4,527,270) as applied to claim 1, further in view of McCool et al (US 4,951,280).

For claim 9, the claimed invention is described as in paragraph 4.

Uzun and Sweeton are silent about:

As regarding claim 9, wherein one decoupling unit per communication path, preferably a light-emitting diode with a trigger circuit, is provided in the participant for decoupling the information signals from the participant into the communication path.

McCool from the same or similar field of endeavor discloses a apparatus for configuring data paths with the following features:

As regarding claim 9, McCool discloses wherein one decoupling unit (see column 8 lines 54-57 “fiber optic transmitter”) per communication path (see Figure 1, 42,34,44,32) preferably a light-emitting diode (see column 8 lines 54-57 “LED”) with a trigger circuit (see column 8 lines 42-44 “comparator”), is provided in the participant for decoupling the information signals (see column 8 lines 54-57 “differential signal”) from the participant (see column 1 lines 20-23 “station”) into the communication path (see column 8 lines 54-57 “fiber optical cable”).

It would have been obvious to one of the ordinary skill in the art at the time of the invention to modify the system of Uzun and Sweeton, by using the features, as taught by McCool, in order to provide an apparatus for easily configuring a dual-ring and providing modules which provide a flexible architecture for configuring and reconfiguring LAN stations (see column 3 lines 4-13);.

Art Unit: 2616

7. Claim 12 is rejected under 35 U.S.C. 103(a) as being unpatentable over Uzun (US 7,142,504) in view of Sweeton (US 4,527,270) as applied to claim 1, further in view of Moriyama et al. (US 4,516,121).

For claim 12 the claimed invention is described in paragraph 4.

Uzun and Sweeton are silent about:

As regarding claim 12, wherein the participant is integrated into an actuator and/or a sensor, preferably into a drive control unit, and especially preferably into a drive control unit of a control motor.

Moriyama et al from the same or similar field of endeavor discloses a transmission control system with the following features:

As regarding claim 12, Moriyama discloses wherein the participant (see column 2 lines 19 “left terminal”), is integrated into an actuator (see column 2 lines 9-15 “automobile”), preferably into a drive control unit (see column 2 lines 24-25 “control devices” and Figure 2, 217, 215 and column 2 lines 44-46 “ 215 a motor...217 a motor”), and especially preferably into a drive control unit (see column 2 lines 24-25 “control devices” and Figure 2, 217, 215 and column 2 lines 44-46 “ 215 a motor...217 a motor”) of a control motor (see column 2 lines 24-25 “control devices” and Figure 2, 217, 215 and column 2 lines 44-46 “ 215 a motor...217 a motor”).

It would have been obvious to one of the ordinary skill in the art at the time of the invention to modify the system of Uzun and Sweeton, by using the features, as taught by Moriyama et al., in order to provide a transmission control system which does not fail as

Art Unit: 2616

a whole system even if a part of the system fails, through a predetermined order transmission (see column 1 lines 31-50);.

8. Claim 13 is rejected under 35 U.S.C. 103(a) as being unpatentable over Uzun (US 7,142,504) in view of Sweeton (US 4,527,270) as applied to claim 1, further in view of Regula (US 6,400,682).

For claim 13, the claimed inventions is disclosed in paragraph 4.

Uzun, and Sweeton are silent about:

As regarding claim 13, wherein the input signal of a participant is checked for its presence by means of an edge detection in the participant.

Regula from the same or similar field of endeavor discloses a dual counter-rotating ring with the following features:

As regarding claim 13, Regula discloses wherein the input signal (see column 14 lines 52-54 “data signal”) of a participant (see column 5 lines 25-29 “node’s) is checked for its presence (see column 14 lines 52-54 “detection”) by means of an edge detection (see column 14 lines 52-54 “edge detection”) in the participant (see column 5 lines 25-29 “node’s).

It would have been obvious to one of the ordinary skill in the art at the time of the invention to modify the system of Uzun and Sweeton, by using the features, as taught by Regula, in order to provide fault tolerant interconnection that allows automatic detecting

of failures and replaces nodes while the interconnection is operating (see column 2 lines 37-49).

9. Claim 14 is rejected under 35 U.S.C. 103(a) as being unpatentable over Uzun (US 7,142,504) in view of Sweeton (US 4,527,270) as applied to claim 1, further in view of Yasue (US 4,594,709).

For claim 14, the claimed invention is described in paragraph 4.

Uzun and Sweeton are silent about:

As regarding claim 14, wherein if a signal is absent at its input, a participant generates a zero-bit current for subsequent participants.

Yasue from the same or similar field of endeavor disclose a loop transmission system with the following features:

As regarding claim 14, Yasue discloses wherein if a signal is absent (see column 10 lines 41-42 “transmission path 24 in the first loop fails”) at its input (see Figure 2, 18-32), a participant generates (see column 10 lines 56-57 “sent out”) a zero-bit (see column 10 lines 56-57 “all-Zero data”) current for subsequent participants (see column 10 lines 56-57 “senet out to the first loop “ and column 12 lines 8-10 “propagates sequentially through the second loop down “).

It would have been obvious to one of the ordinary skill in the art at the time of the invention to modify the system of Uzun and Sweeton, by using the features, as taught by Yasue, in order to be able to wrap traffic onto a second ring without paying attention to a

Art Unit: 2616

particular device (master station) and to construct a turn loop with excluding faulty portions (see column 2 lines 24-33 and column 1 lines 35-42);.

10. Claim 18 is rejected under 35 U.S.C. 103(a) as being unpatentable over Uzun (US 7,142,504) in view of Sweeton (US 4,527,270) as applied to claim 15, further in view of Kinoshita et al. (US 7,283,740).

For claim 18, the claimed invention is described in paragraph 4.

Uzun, and Sweeton are silent about:

As regarding claim 18, wherein the participants are connected to one another via optical waveguides

Kinoshita from the same or similar field of endeavor discloses a with the following features:

As regarding claim 18, Kinoshita discloses wherein the participants (Figure 1, 12) are connected to one another (see Figure 1, 16 and 18) via optical waveguides (see column 7 lines 35-37 “planar waveguide”).

It would have been obvious to one of the ordinary skill in the art at the time of the invention to modify the system of Uzun and Sweeton, by using the features, as taught by Kinoshita, in order to separate reuse gateways, thus providing a network with low cost and high capacity and to provide fine granularity between metro access and metro core environments (see column 1 line 55 through column 2 line 6).

Art Unit: 2616

11. Claim 19 is rejected under 35 U.S.C. 103(a) as being unpatentable over Uzun (US 7,142,504) in view of Sweeton (US 4,527,270) as applied to claim 15, further in view of Trussell et al (US 4,539,655).

For claim 19, the claimed invention is described in paragraph 4.

Uzun, Karbowiak, and Lida are silent about:

As regarding claim 19, wherein the communication system is a decentralized control system, having a master slave structure, preferably for controlling and regulating a plurality of control motors.

Trussell et al from the same or similar field of endeavor discloses a with the following features:

As regarding claim 19, wherein the communication system (see Figure 1, 10) is a decentralized control system (see Figure 1, 14, NODE 1-3; nodes are connected), having a master slave structure (see column 3 lines 10-12 “master” and column 6 lines 2-4 “NCM can be...slave” and column 6 lines 14-16 “slave modules”), preferably for controlling (see column 2 lines 37-38 “controls”) and regulating (see column 2 lines 37-38 “controls”) a plurality of control motors (see column 2 lines 37-38 “motor controls”).

It would have been obvious to one of the ordinary skill in the art at the time of the invention to modify the system of Uzun (US 7,142,504), Karbowiak et al. (US 4,663,748) and Lida et al, by using the features, as taught by Trussell et al, in order to provide a microcomputer based monitoring and communication system (see column 1 lines 52-65).

Conclusion

12. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

13. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

US-4,536,876 A	08-1985	Bahr et al.	370/453
US-4,553,233 A	11-1985	Debuysscher et al.	370/224
US-4,815,069 A	03-1989	Nakayashiki et al.	370/224
US-6,892,329 B2	05-2005	Bruckman,	714/43

Art Unit: 2616

		Leon	
US-7,263,062 B2	08-2007	Chikazawa et al.	370/224

The above are referenced to show systems of double ring topologies.

14. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Kenan Cehic whose telephone number is (571) 270-3120. The examiner can normally be reached on Monday through Friday 8:00-5:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Kwang Yao can be reached on (571) 272-3182. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Application/Control Number: 10/549,588
Art Unit: 2616

Page 28

KC

/Kwang B. Yao/

Supervisory Patent Examiner, Art Unit 2616